The motor hierarchy: from kinematics to goals and intentions

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Corresponding Author: Dr Antonia Hamilton, Dept of Psychological and Brain Sciences, Dartmouth College, Hanover, NH, 03755, USA antonia.hamilton@dartmouth.edu The idea of hierarchical organisation in the motor system has a long history, but the different components of the hierarchy have not been easy to localise in the human brain. We have recently developed a one-back paradigm for inducing repetition suppression in response to observed actions in fMRI, which allows different levels of the motor hierarchy to be examined independently within the same stimulus set. 31 participants viewed video clips of a hand taking a wine-bottle or a dumb-bell with either a precision or whole hand grip and performed one of four attentional tasks while fMRI images were acquired with a 3T scanner. We found suppression for repeated goals compared to novel goals in the anterior intraparietal sulcus (aIPS), cerebellum and basal ganglia, replicating previous results (Hamilton & Grafton, 2006). Suppression for repeated grasps compared to novel grasps was found in the lateral occipital cortex (LOC), middle intraparietal sulcus and inferior frontal gyrus (IFG). An analysis of task revealed no main effects and no interactions between task and repetition suppression in any of the grasp or goal clusters. We suggest that these results are compatible with a model of the motor representational hierarchy in which aIPS represents goals or intentions and is placed at the top of the hierarchy. In this model, LOC provides a visual analysis of grasp, while IFG provides a motor analysis of grasp, both subsidiary to the goal representation in aIPS. We discuss this model in relation to other hierarchical control models, and in relation to the processing of actions for the self and others in the human mirror neuron system.

Introduction

An ordinary action such as a pouring a glass of wine can be understood on many levels. The barman will reach for and grasp the bottle, then transport it and tilt it over the glass, carefully controlling the angle to avoid spilling. These kinematic components each require a precisely orchestrated pattern of muscle activity guided by proprioceptive and visual feedback. But a drinker waiting for the barman to finish pouring would likely consider only the goal of the action – to provide some refreshment. Three broad levels of description can be distinguished in this example – the goal level incorporates the intention of the actor and the outcome of the action; the kinematic level describes the shape of the hand and the movement of the arm in space and time; while the muscle level describes the patterns of activity in over thirty hand and arms muscles which contribute to the action.

These three levels have a hierarchical relationship, and are relatively independent of one another. That is, the same goal may be accomplished with several different kinematic components, each of which could be accomplished by a variety of muscle activation sequences. Conversely, one particular pattern of muscle activity or one particular kinematic component may contribute to different goals in different contexts. The aim of the current paper is to determine if this descriptive hierarchy has a real cognitive counterpart, and if so, can the different levels of the hierarchy be localised in the human brain?

Background

The idea that the motor system is organised hierarchically to achieve particular goals has a long and broad history. 100 years ago, Sherrington (1906) distinguished between upper and lower motor neurons, while Jackson (1889) referred to higher motor centres controlling simple movements. From a psychological point of view, William James suggested that voluntary movement is secondary to reflexive actions, and involves "an anticipatory image … of the sensorial consequences of a movement" (James, 1890). This image precedes the action and guides performance, acting as a goal or target state which the action should aim towards. These concepts came together in the work of Donald Hebb, who argued that our theories of different levels of description for behaviours, including abstract concepts such as goal, should also have a counterpart in different types of representation in the brain (Hebb, 1949).

More recently, theories of motor hierarchies have become more specific and have been applied to all levels of the motor system. For example, Keele (1990) emphasised the ordered nature of high level motor programs for feedforward control of movements, in particular in sequential tasks such as writing or typing. Hierarchical ordering is found implicitly in more detailed computational models of motor control, which always include some 'goal' parameters which specifies the desired output of the system. This may be termed the 'desired trajectory' (Wolpert et al., 1998) or 'cost function' (Hamilton and Wolpert, 2002) or an 'instruction stimulus' (Arbib et al., 2000), but in every case, the goal is assumed to exist at a level of control above the detailed model.

Wohlschlager (2003) provides experimental evidence for a hierarchy of goals in children, showing that when a child imitates another person, he or she tends to copy goals

rather than subgoals. In this model, objects and outcomes are considered to be higher goals than actions or movement paths, and goals are defined according to the ideomotor principles of William James. At an even more abstract level, Pachiere (2006) provides a philosophical analysis of action and intention which distinguishes motor-intentions, present-intentions and future-intentions in a hierarchical scheme. All of these theories see motor control in terms of a refinement of information from an abstract goal ('to pour the wine') to a more detailed motor plan ('lift the bottle, tilt the bottle over the glass') to a precise specification of the reaching and grasping actions required to achieve each goal, and finally the activation of specific muscles in a coordinated sequence. The hierarchy for motor control parallels work into executive function which suggests a similar organisation in terms of goals and subgoals in both problem solving tasks (Dehaene and Changeux, 1997, Norman and Shallice, 1986) and in everyday life (Shallice and Burgess, 1991).

In addition to this linear planning, the majority of theories recognise the essential role of feedback loops in motor control. The role of spinal reflexes in eliciting and controlling action has been studied for over 100 years, and it is now clear that proprioceptive and motor systems are intimately linked in control loops at every level of the motor system. Though it has in the past been argued that the delays between a sensory event and a motor response severely limit the use of feedback control (Keele et al., 1990), newer computational work reveals mechanisms which can largely mitigate these delays. In particular, forward models provide a mechanism for predicting and cancelling the sensory consequences of actions (Blakemore et al., 1998, Wolpert and Flanagan, 2001). The idea of predictive forward models has recently been incorporated into a more sophisticated computational framework, where a system of multiple parallel forward – inverse model pairs are able to provide accurate control of action in a variety of contexts, in a model called MOSAIC (Wolpert and Kawato, 1998). Furthermore, it has been suggested that MOSAIC could be organised in a hierarchical fashion (Haruno et al., 2003), and could even provide for understanding other people's actions (Wolpert et al., 2003).

Thus, the idea emerges of a hierarchical system of loops, in which information flows in both directions between the different goal, kinematic and muscle levels. The cognitive structure of this system is illustrated in Figure 1, which presents a rough schema of a visual-motor loop for the control of hand actions. We distinguish the 3 major of motor control levels – goal, kinematic and muscles, together with two levels of visual analysis – a general early analysis followed by more specific visual representations of moving body parts and the objects they interact with, and we assume that information flows in both directions between every level. This sketch is dramatically simplified and ignores the important role of proprioceptive feedback, as well as the more subtle distinctions within different levels, but it does sets out the basis components we are interested in. Similar models have been proposed for both language (Geschwind, 1965) and hand actions (Tessari and Rumiati, 2004), but the localisation of the different components in the human brain remains uncertain. In the current paper, we will focus on the visual analysis of action, the goal representation and the kinematic levels, and ask - can these three components of the visual-motor hierarchy be localised in the human brain?

----- FIGURE 1 ABOUT HERE ------

The motor hierarchy in the brain

The inputs and outputs of the motor system ground the hierarchy in the brain. Thus, we should begin by assuming the primary and secondary visual cortex provides the low level visual analysis system, while primary motor cortex processes outputs to the spinal cord and thus the muscles (Lemon et al., 1998). The higher levels of kinematics and goal representations are likely to be found within the neural systems involved in visually guided actions, which include the premotor cortex, supplementary motor areas, parietal cortex, cerebellum and the basal ganglia. Extensive electrophysiological recordings from these regions in awake behaving primates give some clues to their functions.

Single unit recordings in the inferior frontal cortex of the monkey have revealed neurons coding for grasp configuration and object shape (Rizzolatti et al., 1988) and some of these are also responsive to the observation of actions (di Pellegrino et al., 1992, Gallese et al., 1996). In particular, it has been claimed that some cells in area F5 encode the goal of an action, because they respond when an action is inferred to have taken place out of sight (Umilta et al., 2001). Similarly, single unit recordings in the anterior intraparietal sulcus (AIP) in the monkey have found neuronal coding of object shape and grasp (Sakata et al., 1995), while it has been reported that neurons in monkey inferior parietal lobule (IPL) fire when a grasp and place action sequence is performed or observed (Fogassi et al., 2005). These data have been interpreted in terms of neural coding for performed and observed intentions in the IPL. In contrast, studies of more abstract, symbolic goals implicate the frontal cortex in goal planning and control (Saito et al., 2005, Shima et al., 1996, Mushiake et al., 2006). Thus, the monkey neurophysiological studies do not provide a consensus on the localisation of goals or grasps in the brain.

Studies of the monkey brain are limited by the fact that in general, only one brain region, and often only one neuron, is tested at a time. This means that it is very difficult to get an overall picture of the motor hierarchy. Furthermore, the tasks used in different studies can be quite variable, and do not necessarily systematically separate the different levels of representation. In particular, studies of object grasping in monkeys have not systematically distinguished hand configuration from object identity (Sakata et al., 1995, di Pellegrino et al., 1992). Finally, while there are homologies between the human and monkey brain, there are also major differences, which are matched by behavioural differences in planning, flexibility of action and the ability to infer other people's intentions, all of which are limited or absent in monkeys.

Human neuroimaging studies have the potential to examine the whole brain during more flexible and varied tasks than can be performed by monkeys, and have provided evidence for an extensive neural system for visual control of action, encompassing primary motor cortex, premotor cortex, inferior parietal cortex, SMA, cerebellum and basal ganglia (Grafton et al., 1996, Grafton et al., 1992). Many of these studies used simple finger tapping or tracking tasks, because the use of fMRI to examine more complex visually guided actions is severely limited by the scanner environment and the need to avoid movement artefacts. These environmental limitations can be avoided by studying imagined or observed actions rather than performed actions. There is increasing evidence that action observation activates similar brain structures to those involved in motor performance (Buccino et al., 2001, Rizzolatti and Craighero, 2004), so observation can be taken, with caveats, as a proxy for performance.

Nevertheless, few human neuroimaging studies have even attempted to distinguish different levels of the motor hierarchy in either performance or observation. This is because any single task involving motor performance, imagined action or observation of action requires processing at all levels at once, which means that it is not easy to separate different components by means of a subtraction design. For example, if participants observe a video clip of a hand action during fMRI (e.g. (Buccino et al., 2001)), brain regions involved in processing visual motion, hand kinematics, goals and intentions will all be activated, and a straight forward subtraction experiment cannot distinguish the different levels.

Some studies have attempted to segment these systems, for example, by showing videos of actions without an object and thus without a clear goal or intention, in comparison to videos of goal directed actions (Pelphrey et al., 2004). These authors report increases STS activation for 'unintended' actions, but it remains unclear if participants really see a movement towards empty space as a movement without an intention, or just as a movement with a more complex intention, for example, communicating something to the observer. Similar problems would arise if 'accidental' actions were used as stimuli, because even children are able to perceive the true intention underlying the action (Meltzoff, 1995), so a subtraction between accidental and correct actions reveals brain regions involved in coding errors (Manthey et al., 2003) but not those coding intentions. While subtraction designs have been used to separate reach and grasp within the kinematic level (Culham et al., 2003), and to localise visual processing of human body parts (Downing et al., 2001), it does not seem possible to examine goals, intentions or kinematics across levels in the motor hierarchy by a traditional subtraction design.

The aim of the current paper is to localise the different components of the motor hierarchy, using a repetition suppression (RS) design to examine each level independently, as detailed below. We use action observation rather than performance, and thus rely on the assumption that performed and observed actions involve the same neural systems (Rizzolatti and Craighero, 2004). Though this assumption restricts the

conclusions we can draw about motor performance, the use of action observation allows us to address the question of the neural basis of action understanding and inferences about other people's intentions. This approach allows us to take a broader view of the motor hierarchy, examining several different levels of representation to obtain an overall model of action representation.

The current paper has two specific aims. First, we plan to replicate our previous study (Hamilton and Grafton, 2006), which suggested that action goals are represented in the anterior intraparietal sulcus (aIPS). This result is at odds with several previous studies which give frontal regions a role in goals (Koechlin and Jubault, 2006) and intentions (Iacoboni et al., 2005), and thus requires replication. As before, we focus specifically on object goals, where the goal of the action is to take a particular object and thus the goal is defined by the identity of the object taken. Second, we aim to localise the kinematic levels of the hierarchy, which have received less attention in the past. In particular, we will look for evidence of a dual representation of kinematics, in both visual and motor terms. Understanding these lower levels of the system will allow a more complete model of the visuo-motor control loop to be constructed, taking into account the known connectivity between brain regions as well as the fMRI activations.

The repetition suppression approach

The experimental method we use measures a phenomenon known as repetition suppression (RS), which is not widely used in motor studies. However, RS has been extensively studied in the context of visual representations (Grill-Spector and Malach, 2001, Kourtzi and Kanwisher, 2000), where it is more commonly known as fMRIadaptation. The term repetition suppression will be used here as a more succinct and general descriptor, because reduced responses to repeated stimuli are not unique to fMRI. There are three major advantages to the RS approach. First, it allows us to look for changes within a class of stimuli or a level of the hierarchy rather than between classes. Thus, different levels of representation for the same stimulus can be analysed independently. Second, RS has been linked to reaction time priming (Wig et al., 2005, Maccotta and Buckner, 2004), though we do not make use of this link here. Third, RS data can be interpreted in terms of neuronal population coding, in accordance with two key principles:

- 1. Suppression from stimulus A to stimulus B occurs within a brain region *only* if some feature of both A and B is encoded in the same population of neurons in that region.
- 2. If stimulus B caused suppression in a region, release from suppression on presentation of stimulus C occurs *only* if some feature of B and C is encoded in different populations within that region.

----- FIGURE 2 ABOUT HERE ------

Figure 2 gives a concrete example of these principles in a hypothetical brain area representing animals. In this region, one subpopulation of neurons encode 'dog', and a different population encode 'cat'. These two populations are interspersed and each contain the same total number of neurons, so they cannot be distinguished by a traditional fMRI subtraction design, but they can be discerned by measuring RS.

On seeing stimulus A, a Dalmatian, the neuronal population encoding 'dog' will fire vigorously and a robust BOLD response will be recorded. When a picture of a Daschund is shown next (B), the total activity in the 'dog' population is reduced and a suppressed BOLD response is recorded for the repeated stimulus. If the third stimulus (C) shows a tabby cat, the neuronal population encoding 'cat' will now respond robustly giving a strong BOLD signal. Thus, the presence of the predicted BOLD pattern in response to this stimulus sequence is evidence for distinct neuronal populations encoding 'dog' and 'cat' within a brain region. Note that this pattern of activation would not be seen if the brain area represented either a broader category (e.g. mammals), which would result in suppression over all stimuli, or a narrower category (e.g. each breed of dog in a different population), which would not give any suppression between breeds. Thus, RS can reveal the level of neural representation in different brain regions.

The validity of this interpretation depends on two simple assumptions. First is the assumption of population coding within brain regions, for which there is extensive evidence in many parts of the cortex (Georgopoulos et al., 1982, Britten et al., 1993). Second, the population response must be suppressed when the same stimulus feature is repeated. The precise pattern of suppression, which could be an overall reduction or a sharpening of neuronal tuning curves, remains a matter of debate (Grill-Spector et al., 2006), as does the mechanism by which suppression might occur (Krekelberg et al., 2006). However, the plausibility of population suppression to repeated stimuli is no

longer in doubt, and thus the principle of measuring RS in order to infer neuronal population coding appears to be sound.

A final issue which must be addressed before employing RS in motor studies is the generality of the phenomena. The vast majority of studies have examined RS in visual regions such as the lateral occipital complex and fusiform face area (Henson, 2003). However, there is also evidence for RS in frontal (Buckner et al., 1998) and parietal (Shmuelof and Zohary, 2005) regions, and RS studies have been used to examine for semantic (Thompson-Schill et al., 1999), syntactic (Noppeney and Price, 2004) and numeric (Pinel et al., 2001) representations in the brain. Thus, this phenomenon seems to be general to most of the cortex, and could plausibly apply to motor representations too. By measuring suppression in response to repeated features at different levels of the motor hierarchy, it should be possible to localise the representations involved in the human brain.

Applying RS to the motor hierarchy

To examine the motor hierarchy in the human brain, we used a sequence of stimuli designed to induce RS at three distinct levels: 1) The goal object of a reaching action, 2) The type of grasp used to reach the object, and 3) the weight of the object as revealed by lift velocity. Unlike many other RS studies (Grill-Spector and Malach, 2001), we use a one-back RS design (Figure 3), where each stimulus is defined as novel or repeated relative to the one stimulus before it. This approach is motivated by the fact that RS is largest on a single repeated trial immediately following the prime stimulus and amount of suppression does not increase after approximately 8 stimuli (Grill-Spector et al., 2006). Thus, a one-back design provides an efficient and flexible approach to inducing and measuring RS within a single set of stimuli. We measured RS for three stimulus characteristics: goal-object, grasp and weight; independently in a 2x2x2 factorial design where each factor can be either Novel or Repeated.

----- FIGURE 3 ABOUT HERE ------

Based on previous work, we have predictions for RS at each level. The goal level was previously examined in an RS experiment (Hamilton and Grafton, 2006), which revealed that a region of the left anterior intraparietal sulcus (aIPS) encodes the goal of another person's action. Here we define a goal by the identity of the object which a

person is reaching for, and note that our results do not necessarily generalise to all types of goal, such as tool use, or social goals. Nevertheless, the goal of reaching for an object is often a sub-goal in other more complex tasks, and provides an ideal entry-point for the study of high level action representation. The goal-object analysis here will be an attempt to replicate the previous study, in a more powerful scanner and with a more precisely controlled stimulus set. Thus, we predict that the same brain region, aIPS, will show RS for goal object.

The grasp level of representation could be localised to several regions, and in particular, we hope to distinguish visual analysis of grasp from a motor preparatory representation of grasp. Possible regions for motor grasp include aIPS which was traditionally considered a 'grasp area' (Sakata et al., 1995) and IFG on the basis of the equivalence of this region to monkey F5, which has direct connections to primary motor cortex and neurons specialising for different grasp configurations (Rizzolatti et al., 1988). Visual analysis of grasp could be carried out in a variety of extrastriate regions in the middle temporal gyrus, lateral occipital cortex or superior temporal sulcus, all of which have been associated with processing observed body parts (Downing et al., 2001) and biological motion (Grossman et al., 2000).

Object weight representations are likely to be similar to grasp representations, as both fall at the kinematic level of the hierarchy. Weight judgments are made based on the velocity of the actor's lifting action (Hamilton et al., 2005), which could be expected to involve visual and biological motion regions, including middle temporal gyrus, lateral occipital cortex and superior temporal sulcus. However, inferior frontal gyrus is also required for accurate weight judgment (Pobric and Hamilton, 2006), and both this region and primary motor cortex show activity related to the biasing effect of box lifting on weight judgment (Hamilton et al., 2006). Thus we predict that weight representations, like grasp, would be likely to be found in the extrastriate visual regions and premotor cortex.

The final factor we will examine is the effect of attention to different tasks on the level of RS in each region. That is, does top-down processing of one element of the scene influence the amount of RS to different elements in the scene? This is a critical question for the interpretation of RS studies, in particular those that report suppression in

parietal or frontal regions, where the suppression could be interpreted in terms of attention rather than a specific neural population code for the stimulus characteristics. We examine task as a between subjects factor to ensure that each participant focuses on only one task during the experiment, and predict no effects of task on RS.

Methods

Sixteen unique video clips were generated, each 4.5 seconds long, depicting a hand reaching for and taking either a dumb-bell or a wine bottle. Each of the objects could be grasped with either a precision grip on the neck of the bottle or middle of the dumb-bell, or with a power grip on the body of the bottle or the top of the dumb-bell. The object dimensions were precisely matched (neck / middle = 2.5 cm diameter; body / top = 7.7 cm diameter) so that the motor properties of each grip would be identical. Furthermore, each object could either be empty, weighing 0.5kg, or filled with water / lead shot to a weight of 1kg. These changes in weight did not alter the appearance of the object, so weight could only be judged by careful examination of the speed of the lifting action. Finally, each object could be placed on the far left of the table, or slightly behind and to the right, with the other object in the alternate location. Thus, the clips filled a 2x2x2 factorial design, with factors: Goal-object (dumb-bell / wine), Grasp (power / precision), Weight (Heavy / Light), and clips were ordered to obtain RS for all of these factors simultaneously.

31 right-handed participants gave their informed consent to take part. In the scanner, the participants watched sets of video clips in a sequence determined by a one-back repetition suppression design as illustrated in

Figure **3**. In this design, each movie is defined as 'Novel' or 'Repeated' on each of the three stimulus dimensions (goal, grasp and weight) relative to the one movie before. Thus, each movie contributes to the analysis on its own account, but also acts as a 'prime' for the next movie in the sequence. Movies were organised into sets, which each began with a new movie which primes the RS movie on the next trial but was not analysed. Each set contained between 5 and 10 RS movies, and then ended with a question trial, followed by a short rest before the next set. All participants saw 8 new movies, 8 questions and 64 RS movies in a single functional run.

Different questions were assigned randomly to individual participants to assess the effects of task in a between subjects design. 3 participants were asked to determine the identity of the object taken on each trial (Object-task), 11 were asked to determine the grip used on each trial (Grip-task), 11 were asked to determine the object weight on each trial (Weight-task) and the remaining 6 were asked to determine the location of the grasped object (Location task). These groups allow us to examine the influence of topdown control on RS at each level of the motor hierarchy. All participants were instructed to attend to every video and mentally perform the task for that clip. After a set of 5 to 10 videos, the task question appeared written on the screen and participants were required to answer as quickly and accurately as possible. These question trials ensured that participants were awake and on-task during the scanning but were not analysed further.

The experiment was carried out in a 3T Philips Intera scanner using an eight channel phased array coil and 36 slices per TR (4 mm thickness, 0.5 mm gap); TR: 2000 ms; TE: 35 ms; flip angle:90°; field of view: 24cm; matrix 80x80. The first two brain images of the functional run were discarded, then 233 images were collected and stored. Raw data for each participant was realigned, unwarped and normalised to the MNI template with a resolution of 2x2x2mm in SPM2. A design matrix was fitted for each subject, with each movie modeled as a boxcar convolved with the standard haemodynamic response function. The design matrix weighted each raw image according to its overall variability to reduce the impact of movement artifacts (Diedrichsen and Shadmehr, 2005). After estimation, 9mm smoothing was applied to the beta images.

We calculated contrasts for novel object > repeated object, novel grip > repeated grip and novel weight > repeated weight. An exploratory analysis of interactions between object RS and grip RS was also conducted within a mask of the main effects. Results were analysed first over the whole group (regardless of task) and we report regions which survive a threshold of p<0.001 uncorrected and 10 voxels over the whole brain in Table 1. However, we limit the discussion of results to clusters which fall within the predicted action representation network, or which survive the cluster level correction for multiple comparisons. To examine the effect of cognitive task on RS in the action representation system, a secondary analysis was carried out on the main clusters reported

in Table 1. Mean BOLD signal over the cluster in each condition for each participant was extracted and subjected to a repeated measures ANOVA in SPSS with factors Grasp and Object (both novel or repeated), and a between subjects factor of Task (object, grasp, location or weight tasks). We looked for main effects of task or interactions of task with RS, and consider only effects which survive Bonferroni correction for the number of clusters tested to be significant, resulting in thresholds of p<0.0083 for the object cluster set, and p<0.0063 for the grasp cluster set.

Results

The neural representation of object weight

In the contrast of novel weight > repeated weight over all participants, no regions survived the p<0.001 threshold. At a more liberal p<0.005, cluster of 5 voxels was found in the left inferior occipital cortex (-38, -74, 4). This region is close to the lateral occipital location which is involved in the biasing effect of box lifting on weight judgement (Hamilton et al., 2006) and is within the predicted visual action regions. Thus, this result hints at a visual analysis of object weight. The effect may be weak because it was not easy to judge object weight in the clips used, and participants who were not alert to the different possible weights may not have discriminated object weight at all. More precisely controlled stimuli, for example from a high speed video camera (Hamilton et al., 2005), might be needed to obtain a more robust localisation of object weight. In particular, the question of IFG involvement in weight judgement, as suggested previously (Hamilton et al., 2006, Pobric and Hamilton, 2006), remains open.

The neural representation of hand grasp

Main effects of novel grasps compared to repeated grasps were found in three clusters in the inferior and middle occipital regions, a single cluster in the inferior frontal gyrus, and a region of the middle IPS. All these regions passed the p<0.001 uncorrected threshold, and are listed in the top part of Table 1. Figure 4 illustrates the locations of the clusters and the RS effects. Weaker responses to repeated grasps (orange and pale blue bars) compared to novel grasps (red and dark blue bars) provide evidence for distinct neuronal populations encoding whole hand grips and precision grips in these regions. The RS effect appears weak in the plots due to individual differences in the absolute level

of response, which do not have an impact on the repeated-measures analysis conducted in SPM. Outside the predicted network, RS for grasp was also seen in the SMA and middle frontal gyrus, but there was no evidence of RS for grasp in the more anterior portion of IPS or in IPL on either the left or right. These results are compatible with the idea of several grasp representations in the brain. In particular, the lateral occipital regions could contribute to a visual analysis of grasp, and the inferior frontal region to motor grasp representation, as hypothesised.

----- FIGURE 4 ABOUT HERE ------

The neural representation of a goal object

The analysis of RS for goal object revealed a robust and extensive brain network (Figure 5 and Table 1). Three regions survived the whole brain cluster corrected threshold in the grasp analysis, demonstrating stronger responses to novel goal objects compared to repeated goal objects. These were the left aIPS extending into the left IPL, a large cluster in the basal ganglia extending bilaterally through the caudate and putamen, and the left cerebellum. These findings provide a clear replication of (Hamilton and Grafton, 2006), where aIPS, basal ganglia and cerebellum were all reported. Additional regions showing RS for grasp over the p<0.001 uncorrected threshold include SMA, IFG and STS, as listed in Table 1.

----- FIGURE 5 ABOUT HERE ------

Interactions between goal object and hand grasp

An exploratory analysis of interactions between RS for goal and RS for grasp was carried out at a p<0.001 uncorrected threshold and 10 voxel minimum cluster size within a mask of the main effects of goal and grasp. No brain regions showed a positive interaction between Goal and Grasp. A negative interaction was found in a small cluster of 32 voxels in the left aIPS (MNI coordinates -44 -34 56). This region was located within the much large cluster of 563 voxels, which spanned the inferior parietal lobule and aIPS and showed a strong main effect of RS for goal. The interaction cluster showed stronger activity in the novel Goal – repeated Grip and repeated Goal – novel Grip conditions compared to the novel Goal – novel Grip and repeated Goal – repeated Grip conditions. This pattern of activation implies that this region is sensitive to the association of a particular grasp with a particular object, and responds more robustly

when that association changes. However, interactions in repetition suppression studies cannot easily be interpreted in terms of population coding, and further studies will be required to establish the robustness and meaning of RS interactions.

The effects of task

The effects of task were examined in as a between-subjects factor in a repeated measures ANOVA in each of 8 grip clusters (those with more than 10 voxels) and 6 object clusters (those with more than 100 voxels). Within the grip clusters, there were no main effects of task (all p>0.1) and no grip by task interactions (all p>0.1). Similarly, analysis of the object clusters did not reveal any main effects of task (min p=0.097) or object by task interactions (all p>0.1). These results indicate that directing participant's attention towards different aspects of the video clips does not influence the RS obtained to different motor components. This provides evidence that RS is unrelated to visual attention or cognitive factors, but is an obligatory part of processing action information.

----- TABLE 1 ABOUT HERE ------

Discussion

Using a repetition suppression experiment, we have been able to localise the different levels of the visual-motor hierarchy in the human brain. We found evidence that lateral occipital cortex and IFG support a neural representation of hand grasp, while aIPS, basal ganglia and cerebellum contain a neural representation of the goal of an action. These clusters all fall within the predicted parietal – premotor action network, and are coherent with our previous data (Hamilton and Grafton, 2006). In particular, we now have evidence for a dual representation of grasps in both occipital and premotor regions, which we can map onto the model of visual-motor processing (Figure 1), by assuming that lateral occipital cortex provides a visual analysis of grasp, while IFG provides a motor analysis of grasp. The representation of goal remains in the aIPS, as predicted by previous work (Hamilton and Grafton, 2006). Thus, we provide the first evidence of a functional dissociation between the IFG and aIPS regions of the visuo-motor hierarchy. These results allow us to propose a simple relationship between the motor hierarchy and the brain, illustrated in Figure 6. We now consider the evidence for the localisation, function and connectivity of each level in turn.

----- FIGURE 6 ABOUT HERE -----

Visual analysis of action

Our data indicate that a representation of observed grasps and observed kinematic patterns is found in a set of regions in the lateral occipital cortex. Similar regions have been reported in a variety of studies of action observation (Grezes and Decety, 2001), biological motion processing (Grossman et al., 2000), and viewing of parts of the human body (Downing et al., 2001). More recent data in shows that several regions in the human occipital and temporal cortex have selectivity for body parts, and that middle temporal regions which are selective for motion also have preferential responses to images of the human body (Spiridon et al., 2006). Similarly, a recent fMRI study of motion and body selectivity in the macaque reveals a patchwork of occipito-temporal regions with selectivity for observed motion and observed actions (Nelissen et al., 2006). Our results also indicate a set of several lateral occipital regions which contain representations of observed grasps, rather than a single 'grasp' or 'visual kinematics' region. Based on the clear visual associations of these lateral occipital regions, we suggest that this network provides a visual analysis of the kinematic parameters of observed actions, as indicated on the right of Figure 6.

These data could be taken to imply that the kinematics of an observed action can be analysed purely by visual mechanisms (Jacob and Jeannerod, 2005), without the need for a motor simulation (Gallese and Goldman, 1998). However, there is increasing evidence for close links between visual and motor processing of kinematics. In particular, activity in EBA is modulated by the performance of a motor task (Astafiev et al., 2004). Data from our own lab show that lateral occipital cortex has a prominent role in perceptual weight judgment and in the biasing effect of action on percpetion (Hamilton et al., 2006). Together, these data implicate the lateral occipital network in visual processing of human actions with close links to the motor system. Our new results go further and demonstrate these lateral occipital regions are able to perform a detailed analysis of the kinematic parameters of action, for both grasp configuration and object weight.

Somewhat surprisingly, we did not find any involvement of the posterior STS in the representation of grasp. A number of studies have emphasised the role of STS in detecting biological motion (Grossman et al., 2000) and even detecting intentions (Pelphrey et al., 2004), and STS has been proposed as the main visual input to the parietal action representation system (Keysers and Perrett, 2004). In contrast, the regions we report for grasp in this study, as well as for hand trajectory (Hamilton and Grafton, 2006) and box weight (Hamilton et al., 2006) in previous studies, all lie below the STS in the middle or lateral occipital cortex, bordering the middle temporal gyrus. Some of these discrepancies may be due to lack of homologies between human and monkey brain and differences in nomenclature, especially in subtraction designs where large activation clusters can span several regions. The majority of human neuroimaging studies reporting STS activation use either whole body motion, for example point-light figures (Grossman et al., 2000) or eye gaze stimuli (Pelphrey et al., 2003), rather than hand actions. Further work will be needed to differentiate the roles of different occipito-temporal regions in representing action kinematics. Our own data points to a representation of hand grasp, hand velocity and hand trajectory in a patchwork of lateral occipital regions.

Action goals

Our analysis of RS for the goal of an action confirmed our previous results (Hamilton and Grafton, 2006) and demonstrated a central role for the left aIPS / IPL in the representation of object-goals. More specifically, we suggest that aIPS contains populations of neurons which encode different possible action goals, and these populations respond to the observation of a goal directed action by another person. Thus, we place aIPS at the top of the motor hierarchy (Figure 6), with the most abstract action representation of those studied. The goal representation in the parietal cortex must also be qualified by the presence of an interaction with grasp in this region. The interpretation of this interaction in terms of neural population codes is not clear, and may have to await further research on the mechanism of RS. However, the presence of the interaction is indicative of the close links between the different regions of the visuo-motor network, and the likelihood that information processing overlaps between these regions rather than being entirely segregated. It is also important to note that the goals we have studied were defined by the identity of the object taken by the actor, contrasting between a 'take wine bottle' goal and a 'take dumb bell goal'. It remains to be seen if the same parietal regions encode other types of goal, for example manipulating the same object in different ways. Preliminary data from our own lab suggests that this is the case.

The idea that aIPS contains an abstract, goal representation is consistent with recent data showing the TMS over this region impairs the ability to reconfigure one's hand or arm to a novel action goal (Tunik et al., 2005). Recordings from the inferior parietal lobule in macaques, just below the monkey AIP, have provided evidence for neurons which encode both performed and observed action sequences (Fogassi et al., 2005), and are sensitive to the end state (goal) of a sequence rather than the initial action. However, there is also evidence that the anterior portion of the parietal sulcus has undergone an enlargement in the human relative to the macaque (Orban et al., 2005, Simon et al., 2002), suggesting that this region has taken on additional functions in the human brain. The ability to represent and interpret the goals of other people's actions might be one of these functions.

Our analysis of RS for goal also revealed robust goal representations in the basal ganglia and cerebellum. Similar regions were also found in our previous study (Hamilton and Grafton, 2006), but we did not discuss the result then, as it was not clear if the same type of repetition suppression behaviour could be expected in subcortical regions compared to the cortex. However, given the strong replication we find in the present study, and the recent evidence of RS in the basal ganglia for language (Crinion et al., 2006), it seems likely that these results are important. There are also plausible reasons to believe that the cerebellum and basal ganglia might have a role to play in the control and monitoring of action goals. In particular, the cerebellum has a central role in the prediction of the sensory consequences of actions by means of forward models (Blakemore et al., 1998). As suggested in the introduction, a hierarchical system of forward models (Wolpert et al., 2003) provides a plausible computational mechanism which could underlie the motor hierarchy. If the lowest level of this system, which deals with sensory prediction, were located in the cerebellum, it would be necessary for this system to also provide information to the higher levels, in the aIPS, and for these two regions to work together in the interpretation of goal directed actions. Direct connectivity between the cerebellar nuclei and the aIPS has recently been demonstrated (Clower et al., 2005).

This connectivity study also revealed links between aIPS and the substantia nigra in the basal ganglia (Clower et al., 2005). The cluster showing RS for goal in the basal

ganglia was located primarily in the right head of the caudate but extended to the left putamen. There are a variety of functions associated with the basal ganglia which might be relevant to the processing of goals. In particular, the basal ganglia have an important role in action sequencing (Lehericy et al., 2005, Graybiel, 1998) and in learning about the rewards associated with actions (Zink et al., 2004, Hollerman et al., 2000). Thus, the basal ganglia RS for goal might reflect a representation of an action goal as part of a sequence, or a representation of the value of the goal. Overall, our results imply a network for goal representation, where aIPS has a clear role at the top of the motor hierarchy, and BG and cerebellum provide a supporting function.

The motor representation of grasp

As well as the lateral occipital network for grasp, we found RS for grasp in a region of the inferior frontal gyrus. We suggest that this is evidence of neuronal populations in this area encoding whole-hand and precision grips, which provide a motor representation of potential actions. The motor role of IFG has been demonstrated in both humans and monkeys. In particular, human IFG has been associated with the performance (Ehrsson et al., 2000), imagination (Grafton et al., 1996) and planning (Johnson-Frey et al., 2005) of grasping actions in humans. In the macaque, region F5 in the inferior frontal cortex contains neurons which code for specific hand grasps (Rizzolatti et al., 1988) but which also have mirror properties and respond to observed grasps (Gallese et al., 1996, di Pellegrino et al., 1992). The role of IFG in both kinematic control and the interpretation of observed kinematic patterns has also been demonstrated in humans. IFG is part of the network of regions active in motor tasks (Stephan et al., 1995, Rizzolatti et al., 1996), and is also required for judging the weight of a box lifted by another person (Pobric and Hamilton, 2006), a task which requires interpretation of kinematics (Hamilton et al., 2005) rather than goals. This region is connected to both primary motor cortex and the spinal cord (Dum and Strick, 1991, Dum and Strick, 2002, Shimazu et al., 2004), and thus is ideally placed to provide a motor grasp representation, closely linked to the performance of an action.

The localisation of motor grasp representations to IFG places this region at a 'lower' stage of the motor hierarchy than aIPS, between the muscle output and the goal. This proposal is at odds with some studies which have attempted to link IFG to more abstract action understanding functions, such as the interpretation of goals (Umilta et al., 2001) and intentions (Iacoboni et al., 2005). A number of theoretical papers have also proposed links between the action representations in IFG and language (Rizzolatti and Arbib, 1998) or mentalising (Gallese and Goldman, 1998) abilities. This proposals may have arisen partly from the historical accident that mirror neurons were explored in the IFG (di Pellegrino et al., 1992) before they were studied in the inferior parietal cortex (Fogassi et al., 2005). Furthermore, the studies which have linked IFG to abstract goals either did not distinguish between the configuration of the hand and the identity of the goal object (Umilta et al., 2001), or did not control for context (Iacoboni et al., 2005). Thus, we suggest that direct evidence for a goal representation in IFG is lacking. Instead, our own data clearly demonstrate a role for IFG in grasp and in representing the kinematics of hand actions.

Broader implications

Our model of the visual-motor hierarchy is a step towards defining the neural systems underlying motor control and action understanding in the human brain. As we make clear, the underlying cognitive structure we propose is based on previous models for language (Geschwind, 1965) and hand actions (Tessari and Rumiati, 2004). Our new data provides a grounding for these models in the brain, and thus makes new predictions for fMRI, neuropsychological and neurophysiological experiments.

In particular, we provide the first evidence for distinct functions for the IFG and aIPS regions of the visual-motor loop, arguing that aIPS has more abstract goal representations while IFG has mainly lower level grasp representations. This conclusion conflicts with theories which place intention representations in the frontal cortex (Iacoboni et al., 2005) and which attempt to link sophisticated action understanding abilities in frontal cortex to language representations in Broca's area (Rizzolatti and Arbib, 1998). However, neither language nor action understanding depend on a single brain region, and it is quite possible that the production of language and hand actions are intertwined in the inferior frontal cortex, while the meaning of words (Spitsyna et al., 2006) and goals of actions are represented elsewhere.

There is one potential difference between our model in Figure 6 and the models previous proposed (Tessari and Rumiati, 2004), which include a direct connection

between the visual analysis and motor grasp representations. Psychophysical evidence supports the existence of a direct connection, with both visual to motor (Kilner et al., 2003) and motor to visual (Hamilton et al., 2004) effects. However, we do not include this connection in the figure, because anatomical evidence is weak. Reviews of macaque neuroanatomy do not report a direct connection from superior and middle temporal regions to inferior frontal regions (Keysers and Perrett, 2004, Rozzi et al., 2006). However, a recent study using diffusion tensor imaging suggests that the human arcuate fasciculus, which is commonly considered to be a language pathway, extends from posterior middle temporal gyrus to inferior frontal gyrus (Rilling et al., 2006). This fibre tract therefore has the potential to provide the direct connection between the visual and motor kinematic representations predicted by many psychophysical studies, and could easily be incorporated in our model. Further anatomical studies will be needed to confirm the result.

A second important issue for our model is that we have defined the visuo-motor hierarchy using action observation rather than motor execution, because this provides more precise stimulus control and avoids the limitations of the scanner environment. To draw conclusions about the organisation of these brain systems for the control of one's own actions, we rely on the assumption of mirroring between performance and observation (Rizzolatti and Craighero, 2004). There is independent evidence for the involvement of aIPS in controlling goals (Tunik et al., 2005), and the localisations we propose do form a plausible model for the control of visually guided actions, but direct evidence that mirroring between self and other is specific to each level of the motor hierarchy remains to be discovered. In particular, it would be important to know if RS is seen for performed actions, both in the human and in the macaque brain. *Moving up the hierarchy*

The model we present in Figure 6 describes the control and understanding of goal-directed hand actions, but does not itself explain the origin or selection of goals. The actions we have studied involve taking a single object, which is a very basic form of goal, but in real life, these actions would be likely to form one step towards achieving a more complex goal. For example, taking a wine bottle is just one component of pouring a glass of wine for a friend. Thus, we could postulate a continuing hierarchy of control

for more complex action sequences, as suggested by studies of executive function (Koechlin et al., 2003) and of planning goals in every day tasks (Shallice and Burgess, 1991). However, there remains a gap between the simple action goals studied here and the long-range or abstract goals examined in motor planning tasks. Further studies of the cognitive and neural systems for complex actions will be needed to bridge the gap between motor and executive planning models. In terms of action understanding, there remains a similar gap between the understanding of action goals in the parietal cortex as described here, and the understanding of other people's beliefs, desires and other mental states in the 'theory of mind network' which includes the temporal-parietal junction and medial prefrontal cortex (Frith and Frith, 2003) but not the action understanding regions (Saxe, 2005).

Thus, hierarchical control above the goal level described here remains a complex and unresolved question. Furthermore, all hierarchical models of human cognition are vulnerable to the problem of a homunculus, who seems to be required at the top level to control the lower levels, but can neither be localised nor extinguished. A model composed of multiple overlapping control loops at a variety of levels, as implied here, has the potential to allow sophisticated motor control without a homunculus (Brooks, 1986), but evidence for such a system in the human brain remains to be found. We suggest that the repetition suppression approach to segmenting levels of representation, as outlined in this paper, provides a useful method for interrogating and defining the higher and more abstract components of the motor hierarchy in future.

Conclusion

We have presented evidence for a hierarchical system for action understanding and visual motor control in the human brain. This system is composed of a visual representation of action kinematics in the lateral occipital cortex, a goal / intention representation in the anterior intraparietal sulcus and a motor representation of kinematics in the inferior frontal gyrus. The model is compatible with psychophysical and anatomical data, and provides new framework for interpreting the computational processes underlying action understanding and simple social interactions.

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Figure 1. Components of the visual-motor hierarchy. This simple model assumes a control loop from visual analysis to an abstract goal representation and then to more detailed kinematic and muscle control.



Figure 2. The likely mechanism of RS. An example of RS is shown for a hypothetical brain area encoding animals in two populations of neurons, one for dogs and the other for cats. The top row illustrates the stimulus sequence. The bottom row illustrates hypothetical firing levels in intermixed populations of neurons representing 'dog' (blue) and 'cat' (red) with the intensity of the colour indicating the level of firing. See text for details.



Figure 3. Stimulus sequence to obtain RS for goal and grasp in a one-back design. Top panel shows one frame from each video clip. Lower panels show predicted neural activity and BOLD in a region encoding goal object and a region encoding hand grasp.



Figure 4. Grasp representations in the brain. Repetition suppression for grasp was found in occipital regions, middle intraparietal sulcus and inferior frontal gyrus. All regions show stronger responses to novel grasps (red & dark blue) compared to repeated grasp (orange and light blue). Abbreviations are: n-novel, r-repeated, O-object goal, G-grasp, new- first stimulus of a block, quest- question trial



Figure 5. Goal representations in the brain. RS for the goal object was found in the cerebellum, basal ganglia and left anterior intraparietal sulcus. All three regions show stronger responses to novel goals (red and orange) compared to repeated goals (blue). Abbreviations are: n-novel, r-repeated, O-object goal, G-grasp, new- first stimulus of a block, quest- question trial



Figure 6. Mapping the visual-motor hierarchy onto the brain. Visual analysis of action takes place in the lateral occipital cortex, goals are represented in anterior intraparietal sulcus and kinematic control of grasp requires the inferior frontal gyrus.

Table 1. Coordinates of regions showing RS in the goal-object and grasp contrasts.

Bold indicates regions meeting the whole brain cluster corrected threshold.

	Pogion	No	T	Corrected			rdo	Uncorrected
	Region	voxeis	value	probability	X	NI COO V	rus z	probability
Goal - object contrast (wine bottle / dumbell)								
			,					
	superior temporal gyrus	202	5.37	0.102	-50	4	-12	0.00000
	Caudate	255	5.24	0.049	6	12	2	0.00001
L	IPL	563	4.58	0.001	-52	-20	38	0.00004
					-58	-24	30	
L	alPS				-46	-32	52	
	SMA	96	4.53	0.457	-10	20	46	0.000044
L	Cerebellum	303	4.37	0.027	-54	-60	-26	0.00007
					-46	-64	-22	
					-46	-46	-22	
	lateral occipital cortex	15	4.19	0.985	-20	-56	10	0.000113
	IFG	152	4.19	0.206	-58	10	34	0.000114
	IPS / cingulate	33	4.15	0.912	-24	-30	42	0.000125
	pSTS	26	4.09	0.948	-66	-40	6	0.000148
	Cerebellum	25	4.00	0.953	-32	-50	-26	0.00019
	inferior temporal gyrus	38	3.82	0.882	52	-40	-26	0.000315
R	alPS	127	3.79	0.296	54	-26	50	0.00034
	Cerebellum	35	3.79	0.901	30	-42	-24	0.00034
	calcarine sulcus	10	3.79	0.994	-24	-64	20	0.00034
	Caudate	11	3.78	0.992	-10	-12	18	0.00035
	middle occipital	13	3.77	0.989	48	-56	-6	0.000361
	superior precentral gyrus	13	3.72	0.989	60	6	36	0.00041
	Cerebellum	34	3.70	0.907	46	-54	-30	0.00043
	thalamus	21	3.68	0.968	4	-22	2	0.00045
	Cerebellum	10	3.66	0.994	-42	-86	-18	0.00048
	Cerebellum	13	3.60	0.989	-14	-48	-26	0.00057
	inferior temporal gyrus	13	3.48	0.989	-32	10	-36	0.000769
Grip	p contrast (power / precision)	07	4.00	0.070		00	0	0.000074
		67	4.36	0.676	44	-80	-8	0.000071
	SIVIA	51	4.09	0.794	2	14	62	0.00015
		40	4.05	0.870	-50	-68	-0 20	0.000165
	middle irontal gyrus	40	4.01	0.870	-40	30	30	0.000184
		12	3.91	0.989	30	-90	-14	0.000245
		17	3.87	0.978	-40	14	14	0.000275
		10	3.70	0.981	-20	-50	58	0.000434
	frontal operculum mIPS inferior occipital	17 16 64	3.87 3.70 3.65	0.978 0.981 0.698	-46 -26 42	14 -50 -68	14 58 -16	0.000275 0.000434 0.000494