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### Responses to irrational actions in action observation and mentalising 1 networks of the human brain

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ABSTRACT

By observing other people, we can often infer goals and motivations behind their actions. This study examines the 18 role of the action observation network (AON) and the mentalising network (MZN) in the perception of rational 19 and irrational actions. Past studies in this area report mixed results, so the present paper uses new stimuli which 20 precisely control motion path, the social form of the actor and the rationality of the action. A cluster in medial pre-21 frontal cortex and a large cluster in the right inferior parietal lobule extending to the temporoparietal junction 22 distinguished observation of irrational from rational actions. Activity within the temporoparietal region also cor-23 related on a trial-by-trial basis with each participant's judgement of action rationality. These findings demon- 24 strate that observation of another person performing an irrational action engages both action observation and 25 mentalising networks. Our results advance current theories of action comprehension and the roles of action ob- 26 servation and mentalising networks in this process. 27

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#### Introduction 33

To understand and predict another person's behaviour, it is often 34 helpful to observe how that person moves and to detect if they move 35in an unusual fashion. Many neuroimaging studies have examined the 36 brain systems involved in understanding other people. These have iden-37 tified an action observation network (AON) and a mentalising network 38 (MZN) which are engaged by different types of social stimuli. Here we 39 40 examine if and how these brain networks work together when participants view unusual actions which vary in social richness. 41

Many previous studies have examined brain responses during the 42observation of simple, goal-directed actions and have localised an action 4344observation network (AON) (Caspers et al., 2010). This network comprises the inferior parietal lobule (IPL), the inferior frontal gyrus (IFG) 45 and a swathe of the visual cortex from the extrastriate body area 46 47 (EBA) through the middle temporal gyrus (MTG) to the superior temporal gyrus (STG). The IFG and IPL are commonly considered to be the 48 core of the human mirror neuron system (Gallese et al., 1996; 4950Rizzolatti and Craighero, 2004) and respond in the same way to the ac-51tions of self and other (Kilner et al., 2009; Oosterhof et al., 2010). Whilst 52it is clear that these brain systems are active when participants observe

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simple familiar actions, the role that these areas play in more complex 53 action comprehension remains debated (Jacob and Jeannerod, 2005). 54

A second brain network, commonly called the mentalising network 55 (MZN) is found in the medial prefrontal cortex (mPFC) and 56 temporoparietal junction (TPJ) with the posterior cingulate and tempo-57 ral poles also engaged (see Amodio and Frith, 2006 and Frith and Frith, 58 2003 for reviews). This network is robustly engaged when participants 59 perform social tasks and think about other people's beliefs or intentions. 60 For example, the mPFC is more engaged when participants observe so- 61 cial interactions between cartoon triangles (Castelli et al., 2000) and 62 when participants play an interactive game that requires consideration 63 of their opponents beliefs (Hampton and Bossaerts, 2008). The TPJ and 64 adjacent superior temporal sulcus (STS) are also more active during ob- 65 servation of social interactions (Centelles et al., 2011) and actions with 66 unusual intentions (Pelphrey et al., 2004; Saxe et al., 2004; Wyk et al., 67 2009). 68

Early studies reported engagement of the AON and MZN in quite dif- 69 ferent circumstances, but the extent to which the AON and MZN sys-70 tems function independently and how they interact is currently 71 debated (see Van Overwalle and Baetens (2009) for a meta-analysis). 72 Concurrent activation of both systems is seen when the participant is 73 asked to make 'what' or 'why' judgements about observed actions 74 (Spunt et al., 2011) or to assess whether two figures are engaging in so-75 cial interaction (Centelles et al., 2011). The mPFC and posterior STS were 76 both engaged when participants judged the intentionality of actions 77 with unusual goals or unusual kinematics (De Lange et al., 2008). In 78



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the same study, IFG responded when participants viewed actions with 79 80 unusual goals, demonstrating the complementary roles of action obser-81 vation and mentalising systems. However, in these studies the engage-82 ment of AON and MZN is dependent upon instructions to think about different aspects of the stimuli (see Ampe et al., 2012) and may not re-01 flect spontaneous action understanding. Two recent studies have shown 84 that the AON and MZN are both active during observation of simple 85 grasping actions with social (Becchio et al., 2012) or communicative 86 87 (Ciaramidaro et al., 2014) intent. These findings suggest that actions 88 may need to be considered within a social framework to engage both 89 systems.

Here we aim to probe the role of the AON and MZN in spontaneous 90 action understanding using more complex stimuli. One possible way to 91examine both the AON and the MZN is to present participants with irra-92tional actions. An irrational action can be defined as a goal-directed ac-93 tion which does not adhere to the principle of rational action (Gergely 94 and Csibra, 2003). As such, the means by which an irrational action is 95 achieved is inefficient, given the environmental constraints. For exam-96 ple, reaching up and over a pile of books to pick up the telephone is ef-97 ficient when the books lie between your hand and the receiver but the 98 same up-and-over action is inefficient when the books are not in the 99 way. Thus the first up-and-over action is rationalised by the pile of 100 101 books but the second up-and-over action is irrational because it would be more efficient to reach directly for the phone. Such actions are inter-102 esting because understanding the rationality of actions in a teleological 103 fashion is a developmental step between basic action comprehension 104 and theory of mind (Csibra, 2003; Gergely and Csibra, 2003). This places 105106 irrational action stimuli on the borderline between those stimuli that typically engage the AON (simple actions) and those that typically 107engage the MZN (theory of mind tasks). Previous studies of brain activa-108 tion when participants view irrational actions have given mixed results 109110 about the engagement of either AON or MZN regions. One study report-111 ed MZN activation only (Brass et al., 2007), one study reported activation of AON regions and deactivation of MZN regions (Marsh and 112 Hamilton, 2011) and one study reported activation of neither (Jastorff 113 et al., 2010). Thus, one aim of the present study is to determine how 114 the AON and MZN respond during viewing of irrational actions in a 115 new and well-controlled stimulus set. 116

A second key question for both the AON and MZN in action under-117 standing concerns the social form of the stimuli - are these systems en-118 gaged only by 'human' actors or also by animate objects? Initial reports 119 suggested that the AON is selective only for human actions (Buccino 120 et al., 2004; Tai et al., 2004) but more recent data suggest that observa-121 tion of robotic actions (Cross et al., 2012; Gazzola et al., 2007) or moving 122 123 shapes (Ramsey and Hamilton, 2010) can also engage this brain network. The MZN is activated when participants believe they are engaging 124125with another person (Gallagher et al., 2002) even when only abstract cues are visible on the screen. Similarly, rationality or intentionality 126can be detected in the movements of animated shapes in adults 127(Castelli et al., 2000) and in infancy (Csibra et al., 1999). Eye-tracking 128studies suggest that participants look towards the face of an actor who 129130performs an irrational action (Vivanti et al., 2011), but this is only pos-131 sible if the actor has a human form. Thus, it remains unclear whether human form is a useful cue or modulator of the detection of rational ac-132tion, in either the AON or the MZN. 133

To investigate these questions, we conducted an fMRI study where 134135participants observed videos depicting rational straight, rational curved or irrational curved actions which could be implemented by a fully-136 visible person, a person with their face hidden or a moving ball (See 137 Fig. 1). All stimuli depict goal-directed actions that either curve over a 138 barrier (rational) or curve with no barrier (irrational), and all are 139matched for action kinematics and timing. Three different social forms 140 will be compared: a full human (face + body), a human body only 141 (head not visible) and a moving ball with no human present. By using 142 these well-matched stimulus videos that precisely control the rational-143 144 ity of the action and the social form of the stimuli, it will be possible to define how the AON and MZN are engaged by simple observation of ac- 145 tions varying in rationality, and whether these responses are modulated 146 by the social form of the stimulus. 147

To make predictions for possible patterns of results, it is useful to 148 consider the three previous studies of observation of irrational actions 149 in more detail. Brass et al. (2007) showed participants movies where 150 an actor used an unusual effector to achieve a goal, whilst rationality 151 of the action was defined by environmental constraints. For example, 152 an actress turned on a light switch with her knee whilst her hands 153 were free (irrational) or occupied by a stack of books (rational). Both 154 the pSTS and mPFC showed greater responses to irrational actions 155 than to rational actions. In a second study, Marsh and Hamilton 156 (2011) showed both typical and autistic participants videos of a hand 157 reaching for an object along a straight trajectory or a curved trajectory. 158 Action rationality was defined by the presence or absence of a barrier. 159 Results showed that the right IPL was more active when typical and au- 160 tistic participants saw irrational actions, whilst the mPFC was less active 161 when typical participants viewed irrational actions. In a third study of 162 action rationality, Jastorff et al. (2010) showed participants movies of 163 an actor reaching over a barrier to pick up an object, with a mismatch 164 between trajectory and barrier height making some actions irrational. 165 They report no differential MZN activity during the observation of irra- 166 tional actions, but found that activity in the middle temporal gyrus 167 (MTG) correlates with action rationality as judged by each participant 168 after scanning.

Overall, these three studies report three different patterns of results, 170 with the MZN activated (Brass et al., 2007), deactivated (Marsh and 171 Hamilton, 2011) or not engaged (Jastorff et al., 2010). AON activation 172 was also only reported in one previous study (Marsh and Hamilton, 173 2011). Some of the differences between these results could be accounted 174 for by the analysis methods used. Whilst Marsh and Hamilton (2011) 175 and Brass et al. (2007) examined responses to movies designed to be 176 rational or irrational. Jastorff et al. (2010) correlated individual partici- 177 pants' ratings of action rationality with brain responses during observa- 178 tion. Here we will apply both methods to the same dataset. We predict 179 that an analysis based on the categories of rational v. irrational actions 180 will engage AON or MZN regions as found by Marsh and Hamilton 181 (2011), and Brass et al. (2007), whilst an analysis based on individual 182 rationality ratings will engage higher order visual cortex as found by 183 Jastorff et al. (2010). 184

Our second aim is to evaluate the impact of social form on processing 185 of action rationality. The stimuli in Brass et al. (2007) showed the actors 186 whole body, whilst those in Jastorff et al. (2010) depicted an actor's 187 torso, arm and face. In contrast, the stimuli in Marsh and Hamilton 188 (2011) showed only a hand and arm with no face or body. It is possible 189 that changes in the amount of social information available allow the ob- 190 server to interpret the actions differently. The importance of social in- 191 formation for understanding action rationality is demonstrated in eye 192 tracking studies which show that participants fixated the face of the 193 actor more following their completion of an irrational action (Vivanti 194 et al., 2011). This may be because participants seek to rationalise the ac- 195 tor's unusual behaviour by looking at their facial expression (Striano 196 and Vaish, 2006) or gaze direction (see Carpenter and Call (2007) for 197 a review). Thus, we predict that observing actions with a full human 198 actor compared to the same object movement without an actor will 199 lead to stronger engagement in brain regions associated with face pro- 200 cessing. Furthermore, if facial cues matter for rationality judgement, 201 there may be an interaction between social form and rationality in ei- 202 ther the AON or the MZN. 203

### Materials and methods

Participants

Twenty-five participants (19 female, mean age = 21.48, 24 right- 206 handed) gave written informed consent before taking part. Participants 207

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Fig. 1. The middle frame from each movie. In each movie the ball starts on the left and is placed in one of the two containers on the right. Coloured lines visualise the movement trajectory of the ball and do not appear in the movie. Rationality is constrained by the position of the barrier.

were recruited through a web-advert on the university intranet and were paid £10 for participation. The study was approved by the Univer-

sity of Nottingham ethics committee.

#### 211 Stimulus generation

212 Movie clips presented during fMRI scanning are illustrated in Fig. 1. In each clip a red ball started on the left of the screen and was moved to 213one of the two transparent containers on the right. These containers 214 were stacked vertically to create upper and lower action goals. The tra-215jectory of the ball between the start point and the goal was either a 216217straight action or a curved action. Both actions were matched for timing on a frame by frame basis such that the start and end point of the action 218coincided. All movies lasted 3.7 s. 219

To generate these movies, first a male actor was filmed moving the 220ball to the upper or lower container along a straight or curved trajectory 221222(4 movies). Care was taken to match the timing of the different actions 223and to ensure that the trajectories to the upper and lower containers 224were mirror images of each other. Then, a red barrier was superimposed 225over each movie using VirtualDub software. Two versions of the curved action movies were created. In one version, the barrier was placed be-226227tween the start point and the goal such that the action had to curve over the barrier to reach the goal, thus making the curved trajectory ra-228 tional. In the second version the position of the barrier had no bearing 229 on the action trajectory and so the action was irrational. This set of six 230movies (rational straight, rational curved, irrational curved, with 2 231goals for each) was then edited to vary the social information available. 232In the human face condition, the head, torso, hand and arm of the actor 233were fully visible. In the human no face condition, a black strip was 234superimposed at the top of each movie so that the face was occluded 235236 but the torso of the actor was still visible. To generate the movies in which the ball moved independently, the coordinates of the ball were 237 recorded for each frame of each movie. A red ball was then digitally 238 superimposed on a still image of the background scene in the appropri-239 ate position for each frame. Video editing was completed using Matlab 240 6.5 and VirtualDub. The final stimulus set comprised 18 movies (three 241 action types X two goals X three social conditions). These conditions 242 will be referred to by codes denoting the social form of the stimuli 243 (ball (b), face (f) and no face (n)) and the rationality of the action trajec-244 tory (rational straight (RS), rational curved (RC) and irrational curved 245 (IC)). As the main focus of this paper is on the effects of rationality, 246 only the responses to rational curved and irrational curved movies are 247 included in the main analyses. Rational straight actions are included in 248 the design to prevent the participant from expecting a curved move-249 ment trajectory on every trial but they are not included in the analyses. 250

#### fMRI procedure

During fMRI scanning participants saw movies of 3.7 second dura-252 tion in an event-related design. Each run of scanning contained each 253 of the 18 movies, repeated six times. Movies were presented in a pseu-254 dorandom order so that same movie was not repeated consecutively. A 255 fixed 600 ms inter stimulus interval occurred between each movie. The 256 full run of scanning lasted approximately 9 min and participants com-257 pleted two runs. To maintain alertness, six catch trials were presented 258 randomly within a run. Participants were asked to answer a simple 259 question about the movie they had just seen, for example 'Did the 260 actor place the ball in the top box?' Participants were required to provide 'yes' or 'no' responses with a button box. Overall accuracy for 262 these questions was high (mean: 91.6%, standard deviation: 0.1) and 263 there was no need to exclude any participants due to inattention. 264 Whole brain images were collected with a 3 T Phillips Achieva MRI 265

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266scanner using a 32-channel phased array head coil. 40 slices were col-267lected per TR (3 mm thickness). TR: 2500 ms; TE: 40 ms; flip angle:26880 ; FOV: 19.2 cm; and matrix:  $64 \times 64$ . 214 brain images were collect-269ed during each of the two functional runs. High resolution anatomical270scans were also collected.

Following fMRI scanning, participants were asked to watch each 271movie again and rate its rationality, using a battery of six statements. 272273These items were: 'The actor was efficient at reaching the goal', 'This 274action seemed weird', 'The movement in this action was unusual', 275'This action was unnatural', 'This action was normal' and 'I would 276complete this action differently'. Participants were asked whether they agreed or disagreed on a scale of one to five. The score on negative 277items was reversed and the total rationality score was computed for 278279each participant for each movie (maximum score of 30 indicated most rational). 280

#### 281 Data analysis

Data were analysed using standard procedures in SPM8. First, im-282ages were realigned, unwarped and normalised to the standard SPM 283EPI template with a resolution of  $3 \times 3 \times 3$  mm. Head movement char-284acteristics were inspected for each participant and total movement 285286never exceeded 3 mm over the course of the entire scan. Following 287 normalisation procedures, 8 mm smoothing was applied. Two different design matrices were created for each participant. In stimuli driven de-288sign, nine regressors were generated, one for each action type (rational 289straight, rational curved and irrational curved) crossed with each social 290291type (face, no face and ball) plus an additional regressor for catch trials. In the parametric design, a regressor was entered for each social catego-292293 ry (face, no face and ball) and a further parametric regressor per social 294 category was generated to represent the modulation of that social cate-295gory by rationality. The weightings in the parametric regressors were 296determined by that participant's ratings of rationality for each movie. These ratings were orthogonalized automatically in SPM by setting the 297mean value of each predictor to zero. An example design matrix 298 for the parametric model is included in the Supplemental information. 299 300 For each design, each trial was modelled as a box-car of 3.7 second duration, convolved with the standard haemodynamic response function. 301

Using results from the stimulus-driven design, forward and 302 reverse contrasts were calculated for action rationality (bRC + fRC +303 nRC > (bIC + fIC + nIC), social form (ball > person and no face > face) 304 305 and interactions between rationality and social form. The parametric design was used to identify brain regions which respond linearly to 306 ratings of rationality. In these contrasts, a 1 (for the forward contrast) 307 308 and -1 (for the reverse contrast) was placed over the column including the rationality ratings and a zero was placed over all other columns. All 309 310 contrast images were then taken to the second level for random effects analyses. Correction for multiple comparisons was performed at the 311 cluster level with a voxel-level threshold of p < 0.005 and k = 10 and 312 a cluster-level threshold of p < 0.05 (FWE corrected). All figures are il-313 lustrated at this threshold. In social form contrasts, a small volume cor-314 315rection was applied to the action observation network. This mask was 316 downloaded from www.neurosynth.org and was generated using the search term 'action observation'. The mask included the IPL, IFG and vi-317sual cortex. No additional activations were found when applying this 318 correction. 319

### 320 Results

#### 321 Behavioural rating of stimuli

Mean rationality ratings of each movie are presented in Fig. 2. A 3 (social) x2 (action) x2 (goal) repeated measures ANOVA revealed that rational actions were rated as more rational than irrational actions (F(1,24) = 36.48, p < 0.0001). An effect of social form showed actions performed by the ball were rated as less rational than human actions



**Fig. 2.** Mean rationality ratings for rational straight (dark grey), rational curved (mid-grey) and irrational curved (light grey) actions by the degree of social form visible. Error bars indicate +/-1 standard error.

 $(F(2,48)=3.30,\,p=0.04).$  There was no effect of goal on rationality  $_{327}$  ratings  $(F(1,24)=0.04,\,p=0.85).$  A significant interaction between  $_{328}$  social form and action was found  $(F(2,48)=6.53,\,p=0.003),$  and in-  $_{329}$  spection of the plots suggests the rational curved action by the ball  $_{330}$  was rated as less rational than the equivalent human actions  $_{331}$   $(F(1,24)=36.48,\,p<0.001).$  All other interactions were not significant.  $_{332}$  Mean ratings of rationality for each movie type are presented in Table 1.  $_{333}$ 

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### Brain responses to irrational actions

Brain responses whilst viewing rational actions (bRC + fRC + nRC) 335 were contrasted with responses whilst viewing irrational actions 336 (bIC + fIC + nIC). Three clusters responded more to irrational actions, 337 compared to rational actions. These were identified as a diffuse cluster 338 in the right IPL extending into the right TPJ; the right IFG; and a large 339 area of the middle occipital cortex (Fig. 3A (blue), Table 2). In the re- 340 verse contrast, a large cluster in medial prefrontal cortex (mPFC) 341 showed greater deactivation during irrational actions (Fig. 3B, Table 2). 342

### Brain areas parametrically modulated by ratings of rationality

Five brain regions were parametrically modulated by individual par-344ticipants' ratings of rationality. When looking for brain responses that345were more active when actions were rated as most irrational, a large346cluster in the right IPL extending to the TPJ was observed. This cluster347is overlapping but slightly posterior to that reported in the previous348analysis and the peak is centred over MTG (see Fig. 3A (green)). In349addition, clusters in the middle occipital cortex, the left TPJ, the hippo-350campus and the cingulate were also parametrically modulated by ratio-351nality. No significant clusters were found in the reverse contrast.352

Table 1 Ratings of rationality for each movie Rational straight			type. Values Rational cu	are means ±	± standard deviations.		
Goal	Тор	Bottom	Тор	Bottom	Тор	Bottom	
Rational	ity ratings						
Face	$21.7 \pm 6.9$	$26.6\pm3.2$	$20.0\pm8.1$	$20.3\pm7.6$	$13.1\pm6.8$	$11.2 \pm 5.1$	
No Face	$23.1\pm6.5$	$24.6\pm5.6$	$20.8\pm7.1$	$21.6\pm7.0$	$12.0\pm5.4$	$12.4 \pm 6.2$	
Ball	$16.8\pm6.6$	$20.2\pm7.3$	$15.8\pm7.3$	$17.2\pm8.4$	$13.0\pm7.5$	$11.2 \pm 6.5$	

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Fig. 3. A: Areas that were more active during observation of irrational curved actions compared to rational curved actions (blue), the areas that responded linearly to individual participants' ratings of action rationality (green) and the regions in which these contrasts overlap (turquoise). Parameter estimates (average SPM beta weights for the cluster) are plotted for key regions. IPL: inferior parietal lobule, IFG: inferior frontal gyrus, and TPJ: temporoparietal junction. B. Brain regions that responded more to rational curved actions, compared to irrational curved actions (red). mPFC: medial prefrontal cortex. All images are whole-brain cluster-corrected at p < 0.05, FWE corrected.

#### 353 Brain responses to social form

The postcentral gyrus extending to the IPL and a large cluster span-354 ning the posterior portion of the occipital cortex and extending to the 355 STS was more active during the observation of a person acting com-356 pared to an animated ball. In the reverse contrast, a large cluster was 357 found with peak activation over fusiform gyrus extending along the 358 parieto-occipital fissure. In addition a small cluster in the posterior cin-359 gulate gyrus was more active when participants observed an animated 360 361 ball compared to a human action. Only the lingual gyrus distinguished whether the participants observed an actor with the face visible or 362 masked (see Fig. 4A, Table 3). 363

#### Interactions between rationality and social form

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One interaction contrast yielded significant results. A large cluster 365 in the occipital cortex, with peak activation in the fusiform gyrus 366 responded more to irrational actions with a face and rational actions 367 with a ball, but less to rational actions with face and irrational actions 368 with a ball, that is: (flC + bRC) > (fRC + bIC), see Fig. 4B and Table 4. 369

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#### Table 2 Coordinates for rationality contrasts. t2.2

Location	Prob (cluster	Cluster	Т	MNI coords			
	corrected p < 0.05 FWE)	size		x	у	Z	
a) Rational > irrational							
Medial prefrontal cortex	0.035	197	4.49	-18	47	4	
(mPFC)				0	35	-8	
				-15	44	-8	
b) Irrational > rational							
Middle occipital gyrus	0.000	491	6.06	9	-85	7	
1 00				-15	-94	10	
				-9	-82	$^{-2}$	
Right Inferior frontal gyrus	0.004	306	4.94	36	14	34	
0 00				48	14	49	
				35	5	31	
Right IPL $\rightarrow$ TPI	0.004	312	4.88	48	-40	34	
				45	-45	13	
				39	-61	7	
c) Parametric rationality $(R > I)$							
No suprathreshold clusters							
<i>d</i> ) Parametric rationality $(I > R)$							
Middle occipital gyrus	0.000	1454	5.97	-12	-100	10	
				3	-85	13	
				18	-88	10	
Left TPJ	0.031	173	5.49	-51	-43	16	
				-42	-49	13	
				-60	-34	7	
Hippocampus	0.044	159	4.67	-12	-28	-8	
				-21	-31	-2	
Cingulate	0.008	228	4.47	-9	-10	55	
				15	-13	46	
				0	-7	55	
Middle temporal gyrus	0.016	200	3.81	51	-61	13	
				42	-58	7	
				54	-52	1	

No AON or MZN regions showed an interaction between rationality and 370 social form, even at lower thresholds. 371

#### Discussion 372

373 The present study examined how observation of irrational hand ac-374 tions engages the AON and MZN in the human brain. Results demon-375 strate that the right inferior parietal cortex, middle temporal gyrus 376 (both in the AON) and medial prefrontal cortex and temporoparietal junction (in the MZN) are sensitive to observed irrational actions. The 377 378 parietal region was also modulated by participants' judgements of rationality. However, none of these regions were sensitive to the social form 379 of the stimuli, and all showed similar responses to actions performed by 380 humans and balls. We now discuss the implications of these findings in 381 relation to previous studies and theories of rationality understanding. 382

#### 383 Brain responses to action rationality

Four major brain systems were sensitive to action rationality: the 384 medial prefrontal cortex, the right inferior parietal cortex extending to 385 386 the temporoparietal junction, the inferior frontal gyrus and the middle temporal gyrus. These regions have traditionally been associated with 387 different functions including mentalising, action observation and higher 388 order visual processing. We consider each in turn. 389

First, the mPFC and TPJ are core components of the MZN. In our 390 dataset, the mPFC showed a significant deactivation when watching ir-391 rational actions, whilst right TPJ showed a significant activation. Both 392 the left and right TPJ showed correlations between participant's post-393 scan ratings of rationality and the BOLD signal. The deactivation of 394 395 mPFC replicates the results of Marsh and Hamilton (2011), but contrasts with the results of Brass et al. (2007). However, a comparison of activa- 396 tion peaks (Fig. 5) shows that the activation in Brass et al. (2007) is 397 more dorsal than the present study. As the activations from these stud- 398 ies are in slightly different regions, they may reflect different processes 399 of rationality resolution. Movies used in Brass et al. (2007) involved the 400 observation of unusual body movements in all conditions. In compari- 401 son, the movies in this study showed simple, goal directed hand actions 402 that are much more familiar. Compare turning on a light switch with 403 your knee to reaching in an arc for an object. In the case of the light- 404 switch, the action is novel, whether it is rationalised by carrying books 405 or not. However, reaching with an indirect movement path is much 406 more familiar as we need to take environmental obstructions into 407 account frequently. Perhaps the way we deal with action rationality 408 when an action is novel, compared to familiar can account for the differ- 409 ences in findings between the two studies. 410

A substantial activation of higher order visual regions was found. 411 These areas were strongly modulated by rationality ratings but were 412 not seen in the stimulus-driven model. As Fig. 5 shows, brain areas cor- 413 relating with subjective ratings were generally more posterior than 414 those which responded in the stimulus-driven model. This is congruent 415 with the results of Jastorff et al. (2010), who found correlations between 416 rationality ratings and brain activation in MTG but not in more frontal 417 areas. Furthermore, neither our study nor that of Jastorff et al found 418 any engagement of the mPFC when using a rationality rating model. 419 This is unlikely to be due to weak power, given the different design pa- 420 rameters used in each study and our positive mPFC engagement in our 421 categorical rationality analysis. 422

Rather, we suggest that there may be two types of rationality per- 423 ception in the brain - a continuous sensitivity to subtle kinematic fea- 424 tures in MTG; and categorical perception of actions as either 'rational' 425 or 'irrational' in the MZN. Research on object perception shows that 426 early visual areas are sensitive to many individual features of an object, 427 whilst temporal and frontal cortices can show categorical responses to 428 object groups (Jiang et al., 2007; Van der Linden et al., 2010). Our data 429 may reflect a similar organisation in the processing of action rationality, 430 from kinematic features in MTG to categories of 'rational' or 'irrational' 431 in frontal and parietal cortices. 432

Both the present study and Brass et al. (2007) report activation of the 433 right TPI during observation of irrational actions. We further add the 434 finding that bilateral TPI was sensitive to rationality ratings. Previous 435 studies suggest that the right TPJ is engaged when participants see 436 actions which violate their expectations (Pelphrey et al., 2003; Saxe 437 et al., 2004) and when participants infer goals from non-stereotypic 438 actions (Liepelt et al., 2008). In contrast, left TPJ was more active 439 when participants were instructed to think about the motive of an ac- 440 tion (Spunt and Lieberman, 2012). Together, these results demonstrate 441 that the TPJ has a full role in responding to observed irrational actions. It 442 is likely that this involves mentalising about why the agent performed 443 an unusual action, or making inferences about the agents' intentions. 444

Activation was also found in the right IPL and right IFG, both within 445 the AON. These regions were more active when participants saw irratio- 446 nal actions compared to rational actions. This result is congruent with 447 the previous study which found stronger right IPL activity when view- 448 ing irrational actions (Marsh and Hamilton, 2011). It is also consistent 449 with previous reports implicating right frontoparietal cortex in the com- 450 prehension of more complex actions (Hartmann et al., 2005) and their 451 outcomes (Hamilton and Grafton, 2008). Right IFG is also engaged 452 when participants are specifically directed to attend to the means by 453 which an action is achieved when observing both typical (Spunt et al., 454 2011) and irrational actions (de Lange et al., 2008). It is possible that 455 participants attend to the kinematic features of the action more closely 456 when the actor violates their expectations by performing an irrational 457 action, thus resulting in the increased IFG activation reported here. 458 The co-activation of the right AON and the MZN during irrational action 459 observation shows that these two networks can work together in action 460 comprehension. We suggest that the AON identifies the complex 461

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Fig. 4. A: Main effects of social form. Brain regions that responded more to moving balls compared to humans (turquoise), regions which were more responsive when observing a human actor compared to moving balls (yellow) and the region which responded more to a human when the face was visible, compared to when the face was hidden (orange). Bars indicate parameter estimates (average SPM beta weights for the cluster) for clusters of activation above threshold. B: The interaction between rationality and social form. All images are whole-brain cluster-corrected at p < 0.05, FWE corrected.

actions that require additional analysis, whilst the TPJ and mPFC may
perform further mentalising about the actor's intentions or why they
performed an unusual action is processed. This is consistent with data
reported in a previous meta-analysis by Van Overwalle (2009).

All of the analyses reported in this paper have compared matched rational and irrational actions which have a curved trajectory. Rational straight actions were included in the design to prevent the observer from always expecting a curved movement and responses to these movies were never intended to be analysed. However, inspection of the parameter estimates for the rational straight actions reveals that responses to these movies are sometimes more similar to the irrational actions than the rational actions, especially in the mPFC. A possible 473 explanation for this pattern of results could be that mPFC activity is 474 reflecting the effort involved in rationalising behaviour. As the rational 475 straight actions require no rationalisation and the irrational curved ac- 476 tions cannot be rationalised, mPFC activity is reduced. However, in the 477 case of the rational curved actions, the observer has to evaluate the effi-478 ciency of the action against the environmental constraint in order to ra-479 tionalise behaviour. This more effortful processing could be driving the increase in activity in the mPFC in only the RC actions. However, this is a post-hoc explanation and further work will be needed to determine if 482 this really is the case. 483

Table 3

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Location	Prob (cluster	Cluster	Т	MNI coords		
	corrected p < 0.05 FWE)	size (functional voxels)		x	У	Z
a) Ball > person						
Parieto-occipital fissure	0.000	3257	8.01	30 60 33	-49 5 -37	- 10 
Posterior Cingulate	0.028	174	4.08	-12 -18 -12	-31 -31 -40	19 43 19
b) Person > ball						
Occipital $\rightarrow$ STS	0.000	3372	11.78	51 9 48	- 79 - 94 - 76	-2 -2 1
Postcentral Gyrus → IPL	0.011	210	6.09	21 30 33	$-52 \\ -49 \\ -40$	70 70 61
c) Face > no face						
Lingual Gyrus	0.000	443	5.82	9 -6	-100 -103	16 13

484 The impact of social form on rationality perception

The second aim in this study was to determine the extent to which 485AON and MZN responses are modulated by the social form of the 486 actor. Only one brain region differentiated both rationality and social 487 488 form. This was a large cluster in the occipital lobe, with the peak of activation found in the fusiform gyrus. This region responded more to irra-489 tional actions when the face was visible and rational ball actions, but 490 less to rational face actions and irrational ball actions. One previous 491 eye tracking study demonstrated that after seeing an irrational action, 492 participants then fixate more on the face of the actor, presumably in 493 an attempt to rationalise the observed behaviour from facial expression 494 or eye gaze cues (Vivanti et al., 2011). If participants in our fMRI study 495 show the same gaze behaviour, this could drive the engagement of the 496 497 fusiform gyrus following observation of irrational actions with the face

#### Q6 Q5 Table 4

t4.2 Coordinates for social × rationality interaction contrasts.

4.3	Location	Prob	Cluster size	Т	MNI coords		
		(cluster corr)	(functional voxels)		x	У	z
:4.4	a) Face/ball						
4.5	No suprathreshold c	lusters					
4.6							
4.7	b) Reverse face/ball						
.8	Occipital $\rightarrow$ right	0.000	888	4.93	33	-82	-11
1.9	fusiform gyrus				- 15	-49	-23
					- 39	2	-38
4.10	a) No free/hall						
4.11	C) NO JUCE/DUII	luctore					
12	No supratifiestiola c	lusters					
14	d) Reverse no face/ha	11					
15	No suprathreshold clusters						
6	no supratinesnora e	lubterb					
7	e) Face/no face						
8	No suprathreshold c	lusters					
9							
)	f) Reverse no face/fac	e					
	No suprathreshold c	lusters					



**Fig. 5.** A comparison of peaks of activation in TPJ, MTG and mPFC for both the stimulus driven model (dark blue) and the rationality rating model (green) from the present study and previous studies involving the observation of irrational actions (Light blue: de Lange et al., 2007; Pink: Marsh and Hamilton, 2011; Yellow: Jastorff et al., 2010; Red: **Q1** Brass et al., 2007).

visible. Past studies strongly link the fusiform gyrus to face perception 498 (Kanwisher et al., 1997) and show that this activation is modulated by 499 covert attention to the face (Wojciulik et al., 1998). 500

No AON or MZN regions showed interactive responses for rationality 501 and social form, suggesting that rationality of actions is computed, irre-502 spective of the social form of the actor. Examining the parameter esti-503 mates for mPFC (Fig. 3B) suggests that there might be a different 504 pattern of response to human and ball actions, with little difference be-505 tween rational curved and irrational ball actions. This implies that the 506 mPFC may be more sensitive to the rationality of human actions; how-507 ever this pattern was not statistically robust. This is consistent with pre-508 vious work that demonstrates that even 12 month old infants attribute 509 rational intentions to animate blocks or balls (Csibra, 2008) and in 510 adults, brain responses to rationality do not differentiate an animate 511 agent from a single moving ball (Deen and Saxe, 2011). 512

There was no increased engagement of the IFG component of the 513 mirror system during the observation of human actions. This is in line 514 with an increasing body of evidence which suggests that mirror systems 515 are not as selective for human actions as previously thought (Cross et al., **Q12** 

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517 2011; Gazzola et al., 2007; Ramsey and Hamilton, 2010). Instead the 518 brain region which distinguished human from ball actions were a 519 large, diffuse cluster in the visual cortex which is likely to reflect the in-520 crease in visual detail when the actor was present. This cluster extended 521 to the STS, a region known to respond during perception of social stim-522 uli (Centelles et al., 2011; Pelphrey et al., 2004; Saxe et al., 2004).

#### 523 Limitations

The present study utilised a very 'dense' event-related design in 524which stimuli were presented with only 600 ms ISI. This design was se-525 lected to effectively minimise the 'rest' time in the scanner, allowing us 526 to present more trials in the available time. It is possible that this dense 527design could reduce statistical power, particularly in regions that may 528have non-standard haemodynamic response functions like frontal cor-529530tex. Given the close matching between the results reported here with 531a dense event-related design, and those of Marsh and Hamilton 532 (2011) which used a block design, it seems unlikely that lack of power 533 is a major worry. Furthermore, experimental designs with short ISIs 534have previously been used to generate robust and replicable results 535when using a fully randomised trial order (Hamilton and Grafton, 2006). 536

In order to maintain strict experimental control over the actions pre-537sented, the same set of 18 movies was repeated throughout the entire 538study. Therefore, repetition suppression (the reduction in BOLD signal 539540for repeated compared to novel stimuli (Grill-Spector et al., 2006)) 541may reduce the power of this study to detect positive effects. In particular, the process of rationalisation of irrational actions may diminish 542with repetition, leading to a reduction in selectivity for action rationality 543towards the end of the experiment. However, recent eye-tracking data 544545with the same stimulus set show that gaze behaviours do not change substantially over the course of 16 repetitions, indicating that irrational 546 actions are still viewed as 'irrational' despite becoming more familiar 547(Marsh, Pearson, Ropar & Hamilton, accepted for publication). The role 013 of expectancy or predictability in processing irrational actions has yet 549to be formally assessed and may provide an interesting avenue for 550future research. Ultimately, this potential reduction in selectivity over 551the course of the experiment emphasises that the effects reported 552here are robust to decreases in experimental power. 553

#### 554 Conclusions

555This paper assesses the relative contributions of the mirror and mentalising systems for understanding irrational actions. Previous re-556sults have shown mixed results in this field due to differences in stimuli 557construction and analysis. The findings reported in this paper clarify 558 some of these differences by using strictly controlled stimuli and two 559 different analysis models. Our results show that when action rationality 560is altered by environmental constraints, both AON and MZN respond to 561 this change. Therefore, we argue that AON and MZN systems are playing 562 complimentary roles in understanding action rationality. We also dem-563onstrate that social form has little impact on brain responses to rational-564ity in AON and MZN regions, providing evidence that neither system is 565selective for human action, as previously thought. 566

#### 567 Appendix A. Supplementary data

568 Supplementary data to this article can be found online at http://dx. 569 doi.org/10.1016/j.neuroimage.2014.09.020.

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